eGADA: enhanced Genomic Alteration Detection Algorithm, a fast genomic segmentation algorithm based on Sparse Bayesian Learning

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Abstract

eGADA is an enhanced version of GADA, which is a fast segmentation algorithm utilizing the Sparse Bayesian Learning (or Relevance Vector Machine) technique from Tipping 2001. It can be applied to array intensity data, NGS sequencing coverage data, or any sequential data that displays characteristics of stepwise functions. Improvements by eGADA over GADA include: **a**) a customized Red-Black tree to significantly expedite the final backward elimination step of GADA; **b**) code in C++, which is safer and better structured than C; **c**) use Boost libraries extensively to provide user-friendly help and commandline argument processing; **d**) user-friendly input and output formats; **e**) export a dynamic library eGADA.so (packaged via Boost.Python) that offers API to Python; **f**) some bug fixes/optimization. The code is published at https://github.com/polyactis/eGADA.

Keywords: Genomics, Red-Black-Tree, Segmentation, EM-algorithm, Sparse Bayesian Learning, Relevance Vector Machine, Microarray, NGS sequencing

Introduction

Genomic segmentation is a crucial prerequisite to detect copy number variants or alterations (CNV/CNA). The GADA algorithm [1–3] tackles the segmentation problem via the Sparse Bayesian Learning (also known as Relevance Vector Machine)[4] technique to discover the minimal number of stepwise functions/wavelets (and hence the breakpoints) to describe the entire genome.

SBL used by GADA is a fast Bayesian learning algorithm. However, the backward-elimination (BE) step after SBL, which is to remove insignificant breakpoints, is quite slow. The BE step finds and removes the least significant breakpoint. The significance of breakpoints is established by the t-statistic comparing coverage of two flanking segments or the breakpoint segment length (defined as the shorter length of two flanking segments) to break ties. This step will stop until two criteria have been met: **a**) t-statistics of all breakpoints are above a pre-set threshold; **b**) the number of probes/bins of each segment is above a pre-set threshold. If there are **n** breakpoints to begin with, the GADA implementation for the BE step would take $\mathbf{O(n^2)}$ operations. For a whole-genome sequencing tumor sample data, n could be as high as millions and this step becomes the most time-consuming part of GADA.

Methods

To speed up the BE step, eGADA uses a Red-Black (RB) tree to store all segment breakpoints as nodes in the tree and then eliminate the least significant breakpoint based on the tree. Breakpoints are sorted by their corresponding t-statistic if either t-statistic is below a pre-set threshold. Otherwise, sort them by their segment length. The segment length of a breakpoint is defined as the length of the shorter flanking segment. Red-Black tree has a time complexity of O(log(n)) for both building and querying the tree. So the time complexity of the BE step is improved from $\mathbf{O(n^2)}$ to **O(n*log(n))**.

Removing the least significant breakpoint is non-trivial as it involves not only removing this node from the tree, but also merging two flanking segments into a new segment

and updating the t-statistics of two endpoints/breakpoints (and their positions in the RB tree). Here is a snippet of github eGADA/src/BaseGADA.cc .

```
    rbNodeType*  minNodePtr = NULL;
    minNodePtr = rbTree.getMinimum();
    BreakPointKey minBPKey=minNodePtr->getKey();
    rbNodeDataType* setOfBPPtr = minNodePtr->getDataPtr();
    rbNodeDataType::iterator setOfBPIterator=(*setOfBPPtr).begin();
    //reset
    leftBreakPointPtr=NULL;
    rightBreakPointPtr=NULL;
    genomeLeftNodePtr=rbTree.nil;
    genomeRightNodePtr=rbTree.nil;

    currentMinScore = minBPKey.tscore;
    toRemoveSegmentLength = minBPKey.segmentLength;

    while (rbTree.noOfNodes()>0 && (currentMinScore<T ||
toRemoveSegmentLength<MinSegLen)){
        minBPKey = minNodePtr->getKey();
        setOfBPPtr = minNodePtr->getDataPtr();
        for (setOfBPIterator =(*setOfBPPtr).begin(); setOfBPIterator!=(*setOfBPPtr).end();
            setOfBPIterator++){
            //remove all breakpoints in this node's data (they have same tscore and length)
            BreakPoint* minBPPtr = *setOfBPIterator;            //get address of BreakPoint
            leftBreakPointPtr = minBPPtr->leftBreakPointPtr;
            rightBreakPointPtr = minBPPtr->rightBreakPointPtr;

            //update two neighboring break points.
            minBPPtr->removeItself();

            //modify genome left & right key, delete their nodes from tree and re-add them with new
key and update breakpoint info
            if (leftBreakPointPtr!=NULL &&  leftBreakPointPtr->nodePtr!=rbTree.nil &&
                leftBreakPointPtr->nodePtr!=NULL){
                //delete the outdated left node
                genomeLeftNodePtr = (rbNodeType*)leftBreakPointPtr->nodePtr;
                genomeLeftNodePtr->getDataPtr()->erase(leftBreakPointPtr);
                if (genomeLeftNodePtr->getDataPtr()->size()==0){
                    //delete this node altogether if its vector is empty
                    rbTree.deleteNode(genomeLeftNodePtr);
                }
                //new genomeLeftNodePtr that matches the new key
                genomeLeftNodePtr = rbTree.queryTree(leftBreakPointPtr->getKey());
                if (rbTree.isNULLNode(genomeLeftNodePtr)){
                    //create an new node
                    genomeLeftNodePtr = rbTree.insertNode(leftBreakPointPtr->getKey(),
                        new rbNodeDataType() );
```

```
            }
            genomeLeftNodePtr->getDataPtr()->insert(leftBreakPointPtr);
            leftBreakPointPtr->nodePtr = genomeLeftNodePtr;
        }
        if (rightBreakPointPtr!=NULL &&
            !rbTree.isNULLNode((rbNodeType*)rightBreakPointPtr->nodePtr) &&
            rightBreakPointPtr->nodePtr!=NULL){
            //delete the outdated right node
            genomeRightNodePtr = (rbNodeType*)rightBreakPointPtr->nodePtr;
            genomeRightNodePtr->getDataPtr()->erase(rightBreakPointPtr);
            if (genomeRightNodePtr->getDataPtr()->size()==0){
                //delete this node altogether if its vector is empty
                rbTree.deleteNode(genomeRightNodePtr);
            }
            //new genomeRightNodePtr that matches the new key
            genomeRightNodePtr = rbTree.queryTree(rightBreakPointPtr->getKey());
            if (rbTree.isNULLNode(genomeRightNodePtr)){
                //create an new node
                genomeRightNodePtr = rbTree.insertNode(rightBreakPointPtr->getKey(),
                new rbNodeDataType() );
            }
            genomeRightNodePtr->getDataPtr()->insert(rightBreakPointPtr);
            rightBreakPointPtr->nodePtr = genomeRightNodePtr;
        }
    }
    (*setOfBPPtr).clear();
    //delete this minimum node after its data is all tossed out
    rbTree.deleteNode(minNodePtr);

    counter ++;
    previousRoundMinScore = minBPKey.tscore;
    previousToRemoveSegmentLength = minBPKey.segmentLength;
    if (rbTree.noOfNodes()>0){
        //get a new minimum
        minNodePtr = rbTree.getMinimum();
        minBPKey = minNodePtr->getKey();
        currentMinScore = minBPKey.tscore;
        toRemoveSegmentLength = minBPKey.segmentLength;
    }
    else{
        break;
    }

}
```

The Red-Black tree data structure was written in C++ template to broaden its potential applications. Here is a snippet of github.com eGADA/src/RedBlackTree.h.

```
template<typename keyType, typename dataType>
class RedBlackTreeNode {
public:
   keyType key;
   dataType* dataPtr;
   unsigned short color;
   /* if red=0 then the node is black */
   RedBlackTreeNode<keyType, dataType> * left;
   RedBlackTreeNode<keyType, dataType> * right;
   RedBlackTreeNode<keyType, dataType> * parent;

   RedBlackTreeNode() {
      parent = NULL;
      dataPtr = NULL;
      this->left = NULL;
      this->right = NULL;
      this->color = RED_;
   }

   /*
   * key_, data_ are references, and have to be initialized in the way above.
   */
   RedBlackTreeNode(RedBlackTreeNode<keyType, dataType>* _parent, keyType _key,
         dataType* _dataPtr) :
         parent(_parent), key(_key), dataPtr(_dataPtr) {

      this->left = NULL;
      this->right = NULL;
      this->color = RED_;
   }

   ~RedBlackTreeNode() {
      // no memory to release?
   }

  …

  void setKey(keyType key) {
      this->key = key;
   }

   void setColor(short color) {
      this->color = color;
   }

};
```

Besides using RB tree to expedite the BE step, we reorganized code into several C++ classes to better structure the source code, used Boost libraries extensively to provide user-friendly help, commandline argument processing, and user-friendly input and output formats, used Boost Python library to export a dynamic library eGADA.so for Python to call. There were also some bug fixes/optimization (reduce memory usage).

Here is a snippet to call GADA from Python after eGADA.so is built.

```
import eGADA

print("### Testing the C++ eGADA.so module ...\n", flush=True)

# Pass 1 to eGADA() to enable debugging output.

# Passing 0 or no passing, i.e. eGADA.eGADA() turns off debugging.

ins = eGADA.eGADA(1)

test_vector = [1,1,1,1,0.99,0.99,1,1,0.1,0.1,0.1,0.15]

# 0.2 is alpha, 4 is min T score, 2 is min segment length.

segment_ls = ins.run(test_vector, 0.2, 4, 2)

print(f'Segmenting {test_vector} output is:\n \t {segment_ls}.\n')
```

If a user encounters compiling issues, we recommend the docker image https://hub.docker.com/repository/docker/polyactis/egada .

Results

We ran eGADA and GADA on different inputs with identical parameters (--T 5, –alpha 0.2, –min_segment_length 0,). Table 1 and Fig 1 are the runtime comparison results. The results confirm the theoretical time complexity analysis in the Methods section. eGADA scales log-linearly, O(n*log(n)), to n, the number of input data points, while GADA scales squarely, $O(n^2)$. The fraction of computing time saved will grow ever larger as the number of input data points increase.

Table 1. Runtime (in seconds) comparison between eGADA vs GADA. Original input is https://github.com/polyactis/eGADA/blob/main/data/input.txt, which contains 80K data points. The inputs above are 4X, 16X, 160X of original data. Runtime is averaged across over five repeats.

Input	320K data points	1.28M data points	6.4M data points	12.8M data points
eGADA	1.88	8.52	53.60	102.63
GADA	2.85	13.88	110.74	326.35

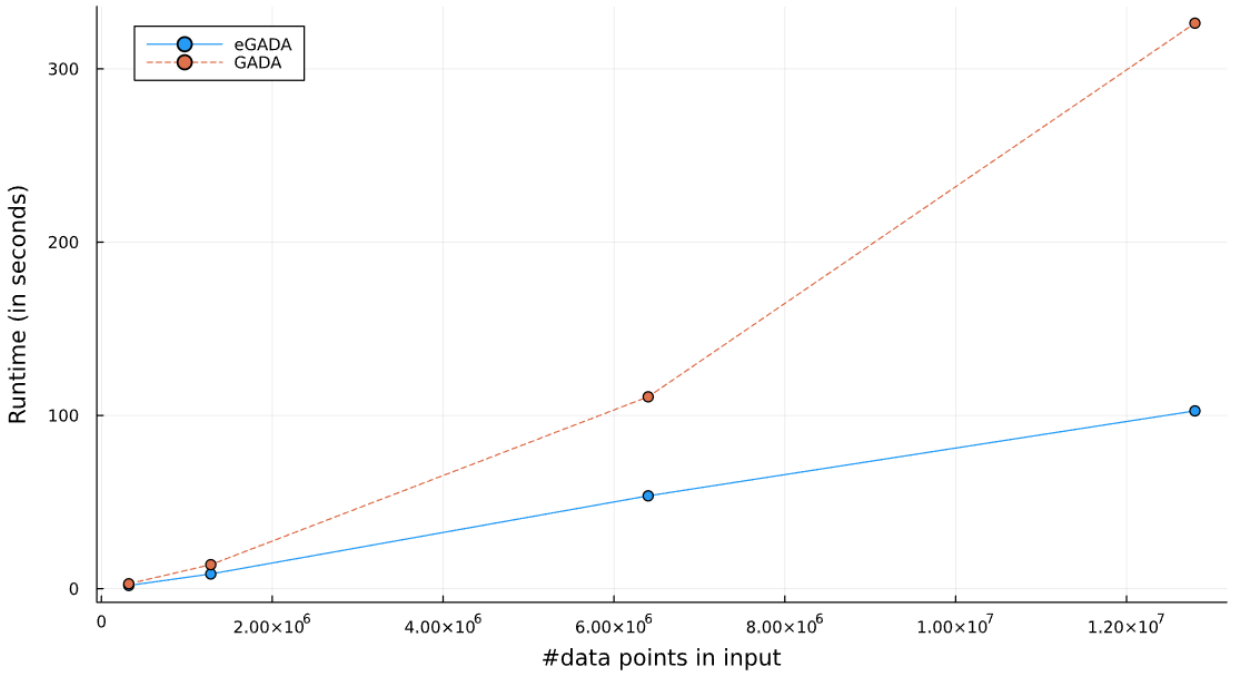

Fig 1. Plot data of Table 1 in a scatterplot form. X-axis is the number of data points in each input. Y-axis is the runtime of eGADA or GADA.

We also compared eGADA with BIC-seq2 [6], in segmenting the genomic data (each input data point is normalized coverage of a 500bp bin) of simulated and TCGA samples, and found eGADA can produce similar segmentation results (data not shown)

while being much faster. We included it as part of Accucopy, a tumor-purity and CNA inference software[5].

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